

Prime Ideals in Free ℓ -Groups and Free Vector Lattices

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We classify the prime ideals in finitely generated free ℓ -groups and free vector lattices. © 1999 Academic Press

1. PRELIMINARIES AND DEFINITIONS

In this paper we give an explicit description of the root system of prime ideals in finitely generated free lattice-ordered abelian groups and free vector lattices. We use the representation of the above structures in terms of piecewise-linear homogeneous functions over real affine space [Bak68, Bey74, Bey77]. Our main technical tool is a derivation operator that allows us to localize the behavior of piecewise-linear functions at given points and along given directions. The resulting description is geometric, in the sense that a prime ideal is characterized as the set of functions that behave locally in a prescribed way.

We obtain a characterization of the root system of prime ideals of finitely generated free vector lattices in terms of the poset of orthonormal tuples of vectors in \mathbb{R}^n , ordered by initial segments. The same description holds for free lattice-ordered abelian groups, provided that we restrict to tuples satisfying an added condition of \mathbb{Z} -reduction. We prove that, in both cases, the root system of primes and the poset of their germinal ideals are naturally anti-isomorphic, and we give an easy algorithm for computing the isomorphism types of quotients under prime ideals. Our description extends the results in [Dar86] and [Dar95, Sect. 52], and provides alternative proofs. As an immediate consequence of our main theorem, using the



categorical equivalence between lattice-ordered abelian groups with strong unit and MV-algebras [Mun86], we obtain a complete description of the prime ideal spaces of the finitely generated free MV-algebras.

Our work is essentially self-contained, assuming only basic familiarity with lattice-ordered abelian groups (ℓ -groups, for short), totally ordered abelian groups (o -groups), and vector lattices; see [Fuc63; Bir67; BKW77; AF88; Dar95]. The few definitions we need from the combinatorial theory of cones in \mathbb{R}^n are collected as follows. A *polyhedral* cone σ is the positive span of a finite set of vectors of \mathbb{R}^n , i.e., a set of the form $\sigma = \mathbb{R}^+ u_1 + \cdots + \mathbb{R}^+ u_t$. If the u_i 's can be completed to a basis of \mathbb{R}^n , then σ is *simplicial*, and if the u_i 's are in \mathbb{Z}^n and can be completed to a basis of \mathbb{Z}^n , then σ is *unimodular*. A fan Σ is a finite complex of polyhedral cones, i.e., a finite set of cones, any two of them intersecting in a common face. For $0 \leq k \leq n$, $\Sigma(k)$ is the set of k -dimensional cones in Σ . The fan Σ is *complete* if $\bigcup \{\sigma : \sigma \in \Sigma\} = \mathbb{R}^n$, and is *simplicial* (resp. *unimodular*) if all of its cones are simplicial (resp., unimodular). See [Oda88; Ful93; Ewa96] for cones and fans.

DEFINITION 1.1. A continuous function $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is *piecewise-linear* if it satisfies one of the following equivalent conditions:

1. there exist $f_1, \dots, f_t \in \text{Hom}(\mathbb{R}^n, \mathbb{R})$ such that, for every $u \in \mathbb{R}^n$, $f(u) = f_i(u)$ for some i ;
2. there exist $f_1, \dots, f_t \in \text{Hom}(\mathbb{R}^n, \mathbb{R})$ and a complete fan Σ such that $\Sigma(n) = \{\sigma_1, \dots, \sigma_t\}$ and $f = f_i$ over σ_i ;
3. f is obtainable from the projection functions $x_i: \mathbb{R}^n \rightarrow \mathbb{R}$ via the pointwise operations $+$, $-$, 0 , \vee , \wedge , and product by scalars;
4. $f = \bigvee_{1 \leq i \leq t} \bigwedge_{1 \leq j_i \leq q_i} f_{ij_i}$, where $f_{ij_i} \in \text{Hom}(\mathbb{R}^n, \mathbb{R})$ for every i, j_i .

DEFINITION 1.2. $\text{FVL}(n)$ is the real vector lattice of all continuous piecewise-linear functions $\mathbb{R}^n \rightarrow \mathbb{R}$, with pointwise operations $+$, $-$, 0 , \vee , \wedge , and product by scalars. $F\ell(n)$ is the ℓ -subgroup of $\text{FVL}(n)$ whose elements are all piecewise-linear functions with integer coefficients (these are the functions resulting from Definition 1.1 by replacing $\text{Hom}(\mathbb{R}^n, \mathbb{R})$ with $\text{Hom}(\mathbb{Z}^n, \mathbb{Z})$ and dropping multiplication by scalars).

$\text{FVL}(n)$ and $F\ell(n)$ are, respectively, the free real vector lattice and the free ℓ -group over n generators. The free generators are the projection functions x_i , and in Definition 1.1(3) f is actually freely obtainable from x_1, \dots, x_n .

2. DERIVATION OPERATORS

The Euclidean norm of $u \in \mathbb{R}^n$ is denoted by $\|u\|$. We write S^{n-1} for the unit sphere in \mathbb{R}^n . The open cone of center $u \neq 0$ and radius $\varepsilon > 0$ is

$$C(u, \varepsilon) = \{v \in \mathbb{R}^n \setminus \{0\} : \|u/\|u\| - v/\|v\|\| < \varepsilon\}.$$

We denote the set of positive real numbers, 0 included, by \mathbb{R}^+ . We say that $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is *linear* on the polyhedral cone μ if $f(ru + sv) = rf(u) + sf(v)$, for every $r, s \in \mathbb{R}^+$ and $u, v \in \mu$. This is equivalent to saying that there exist w_1, \dots, w_t such that $\mu = \mathbb{R}^+w_1 + \dots + \mathbb{R}^+w_t$ and, for every $r_1, \dots, r_t \in \mathbb{R}^+$, $f(\sum r_i w_i) = \sum r_i f(w_i)$.

LEMMA 2.1. *Let Σ be a complete fan, $0 \neq u \in \mathbb{R}^n$. Then:*

(i) *there exists $\varepsilon > 0$ with*

$$C(u, \varepsilon) \subseteq \bigcup \{\sigma \in \Sigma(n) : u \in \sigma\};$$

(ii) *for every $v \in \mathbb{R}^n$ there exists $\lambda_v \in \mathbb{R}^+$ and $\sigma \in \Sigma(n)$ with $u, \lambda u + v \in \sigma$, for every $\lambda \geq \lambda_v$.*

Proof. (i) Let

$$K = S^{n-1} \cap \bigcup \{\sigma \in \Sigma(n) : u \notin \sigma\}.$$

For $k = 1, 2, 3, \dots$, let D_k be the topological closure of $C(u, 1/k) \cap S^{n-1}$; we have

$$\bigcap_{1 \leq k} (K \cap D_k) = \emptyset.$$

Since K is compact, $K \cap D_m = \emptyset$ for some m ; take $\varepsilon = 1/m$.

(ii) Let $C(u, \varepsilon)$ be as in (i). Since $C(u, \varepsilon) \cap S^{n-1}$ is an open neighborhood of $u/\|u\|$ in S^{n-1} and

$$\lim_{h \rightarrow 0^+} \frac{u + hv}{\|u + hv\|} = \frac{u}{\|u\|},$$

we can fix $h_0 > 0$ such that $u + h_0 v \in C(u, \varepsilon)$. So $u, u + h_0 v \in \sigma$, for some $\sigma \in \Sigma(n)$. Take $\lambda_v = h_0^{-1}$. Then, for every $\lambda \geq \lambda_v$, we have

$$\lambda u + v = (\lambda - \lambda_v)u + \lambda_v(u + h_0 v) \in \sigma.$$

■

DEFINITION 2.2. Let $f \in \text{FVL}(n)$, $u \in \mathbb{R}^n$. We let $D_u f$ be the function $\mathbb{R}^n \rightarrow \mathbb{R}$ defined by

$$D_u f(v) = \lim_{h \rightarrow 0^+} \frac{f(u + hv) - f(u)}{h}.$$

LEMMA 2.3. Fix $f \in \text{FVL}(n)$, $u \in \mathbb{R}^n$. Then:

(i) for every $v \in \mathbb{R}^n$ there exists $\lambda_v > 0$ such that the difference $f(\lambda u + v) - f(\lambda u)$ is constant and equals $D_u f(v)$, as λ varies in the set of real numbers $\geq \lambda_v$. Moreover, $D_u f$ coincides on $\mathbb{R}u + \mathbb{R}^+ v$ with one of the f_1, \dots, f_t given by Definition 1.1(2);

(ii) $D_u f \in \text{FVL}(n)$ and if $f \in \text{F}\mathcal{L}(n)$, then $D_u f \in \text{F}\mathcal{L}(n)$;

(iii) $D_0 f = f$ and $D_{ru} f = D_u f$, for every real number $r > 0$.

Assume $u \neq 0$. Then:

(iv) there exists $\varepsilon > 0$ such that $D_u f = f$ on $C(u, \varepsilon)$;

(v) $D_u f = D_u g$ iff $f = g$ on some $C(u, \varepsilon)$; in particular, $D_u f = 0$ iff there exists $\varepsilon > 0$ with $f \upharpoonright C(u, \varepsilon) = 0$.

Proof. If $u = 0$, then (i)–(iii) hold trivially; so we assume $u \neq 0$ throughout. Let Σ be a complete fan for f , as in Definition 1.1(2).

(i) Choose $v \in \mathbb{R}^n$; using Lemma 2.1(ii), we find $\sigma_i \in \Sigma(n)$, $f_i \in \text{Hom}(\mathbb{R}^n, \mathbb{R})$, and $\lambda_v > 0$, such that $f = f_i$ on σ_i and $u, \lambda u + v \in \sigma_i$ for every $\lambda \geq \lambda_v$. Then

$$\begin{aligned} D_u f(v) &= \lim_{h \rightarrow 0^+} \frac{f(u + hv) - f(u)}{h} \\ &= \lim_{h \rightarrow 0^+} (f(h^{-1}u + v) - f(h^{-1}u)) \\ &= \lim_{\lambda \rightarrow +\infty} (f(\lambda u + v) - f(\lambda u)) \\ &= \lim_{\lambda_v \leq \lambda \rightarrow +\infty} (f_i(\lambda u + v) - f_i(\lambda u)) \\ &= f_i(v) \\ &= f_i(\lambda u + v) - f_i(\lambda u) \quad (\text{for every } \lambda) \\ &= f(\lambda u + v) - f(\lambda u) \quad (\text{for } \lambda \geq \lambda_v). \end{aligned}$$

If $w = ru + sv$, with $r, s \in \mathbb{R}$ and $s \geq 0$, then one sees easily that $\lambda u + w \in \sigma_i$, for all sufficiently large λ 's. Then $f(w) = f_i(w)$ as well, and $D_u f = f_i$ on $\mathbb{R}u + \mathbb{R}^+ v$.

(ii) It suffices to show that $D_u f$ is continuous, since we can then apply Definition 1.1(1). Let $v, w \in \mathbb{R}^n$ and let $\lambda' > 0$ be such that $D_u f(v) = f(\lambda' u + v) - f(\lambda' u)$ and $D_u f(w) = f(\lambda' u + w) - f(\lambda' u)$. Then, when $\|v - w\|$ tends to 0, so does $\|(\lambda' u + v) - (\lambda' u + w)\|$, and therefore $\|D_u f(v) - D_u f(w)\|$ tends to 0, since f is continuous.

(iii) This is straightforward from the definitions.

(iv) If $C(u, \varepsilon)$ is as in Lemma 2.1(i), and $v \in C(u, \varepsilon)$, then we can take $\lambda = \lambda_v = 0$ in (i).

(v) The left-to-right direction follows from (iv). If $f = g$ on $C(u, \varepsilon)$ then, given $v \in \mathbb{R}^n$, we find $\lambda_v > 0$ with $\lambda_v u + v \in C(u, \varepsilon)$, and apply (i). ■

Note that, unless $u = 0$, the image of $\text{FVL}(n)$ (resp., $\text{F}\mathcal{L}(n)$) under D_u is a subvector space of $\text{FVL}(n)$ (resp., a subgroup of $\text{F}\mathcal{L}(n)$), but not an \mathcal{L} -subgroup. This is easily seen by taking $n = 1$ and $0 \neq u \in \mathbb{R}^1$; then $D_u x \vee D_u 0 = x \vee 0$, which is not linear on $\mathbb{R}u$. On the other hand, we will prove that:

- $\{D_u f : f \in \text{FVL}(n) \text{ and } f(u) = 0\}$ is a subvector lattice of $\text{FVL}(n)$ (see Theorem 3.8);

- $\{D_u f : f \in \text{FVL}(n)\}$ is a vector lattice in its own right, namely, the vector lattice of germs of functions at u (see Corollary 3.6).

Analogous statements hold for $\text{F}\mathcal{L}(n)$.

LEMMA 2.4. *Let $g \in \text{FVL}(n)$ and let U be a subspace of \mathbb{R}^n such that, for every $w \in \mathbb{R}^n$, g is linear on $U + \mathbb{R}^+ w$. Then, for every $u \in U$, we have $D_u g = g$. If g satisfies the stronger condition of being constant on $U + w$, for every $w \in \mathbb{R}^n$, then $D_{u+v} g = D_v g$, for every $u \in U$ and $v \in \mathbb{R}^n$.*

Proof. This is a trivial computation. ■

LEMMA 2.5. *For every $f \in \text{FVL}(n)$, $u, v \in \mathbb{R}^n$, $r \in \mathbb{R}$, we have $D_v D_u f = D_{v+ru} D_u f$.*

Proof. Let $g = D_u f$; by Lemma 2.3(i), g is linear on $\mathbb{R}u + \mathbb{R}^+ w$, for every $w \in \mathbb{R}^n$. Therefore

$$\begin{aligned} D_{v+ru} g(w) &= \lim_{h \rightarrow 0^+} \frac{g(v + ru + hw) - g(v + ru)}{h} \\ &= \lim_{h \rightarrow 0^+} \frac{g(v + hw) + g(ru) - g(v) - g(ru)}{h} \\ &= D_v g(w). \end{aligned}$$

■

LEMMA 2.6. *Let $g \in \text{FVL}(n)$ and let U be a subspace of \mathbb{R}^n such that, for every $w \in \mathbb{R}^n$, g is linear on $U + \mathbb{R}^+w$. Then, for every $w \in \mathbb{R}^n$, we have $g \upharpoonright (U + \mathbb{R}^+w) = D_w g \upharpoonright (U + \mathbb{R}^+w)$, and $D_w g$ is linear on every $U + \mathbb{R}w + \mathbb{R}^+v$.*

Proof. The equality $g \upharpoonright (U + \mathbb{R}^+w) = D_w g \upharpoonright (U + \mathbb{R}^+w)$ follows from an easy computation. Let $s, r \in \mathbb{R}$, $r \geq 0$; we have

$$\begin{aligned} D_w g(u + sw + rv) &= \lim_{h \rightarrow 0^+} \frac{g(w + hu + hsw + hrv) - g(w)}{h} \\ &= g(u) + \lim_{h \rightarrow 0^+} \frac{g(w + hsw + hrv) - g(w)}{h} \\ &= D_w g(u) + D_w g(sw + rv) \\ &= D_w g(u) + sD_w g(w) + rD_w g(v), \end{aligned}$$

by Lemma 2.3(i). ■

COROLLARY 2.7. *Let $f \in \text{FVL}(n)$, $u_1, \dots, u_t \in \mathbb{R}^n$. Then:*

- (i) $f(u_1) = D_{u_1} f(u_1) = D_{u_2} D_{u_1} f(u_1) = \dots = D_{u_t} \dots D_{u_1} f(u_1)$;
- (ii) for every $v \in \mathbb{R}^n$, $D_{u_t} \dots D_{u_1} f$ is linear on $\mathbb{R}u_1 + \dots + \mathbb{R}u_t + \mathbb{R}^+v$;
- (iii) for every $u \in \mathbb{R}u_1 + \dots + \mathbb{R}u_t$, we have $D_u D_{u_t} \dots D_{u_1} f = D_{u_t} \dots D_{u_1} f$;
- (iv) there exists $\varepsilon > 0$ such that $D_{u_t} \dots D_{u_1} f = D_{u_{t-1}} \dots D_{u_1} f$ on $\mathbb{R}u_1 + \dots + \mathbb{R}u_{t-1} + C(u_t, \varepsilon)$.

Proof. Clearly $f(u_1) = D_{u_1} f(u_1)$. By Lemma 2.3(i) $D_{u_1} f$ is linear on every $\mathbb{R}u_1 + \mathbb{R}^+v$; Lemma 2.6 and induction on t prove (i) and (ii). We infer (iii) from (ii) and Lemma 2.4. About (iv): let $g = D_{u_{t-1}} \dots D_{u_1} f$. Using Lemma 2.3(iv), take ε such that $g = D_{u_t} g$ on $C(u_t, \varepsilon)$. By (ii), both g and $D_{u_t} g$ are linear on $\mathbb{R}u_1 + \dots + \mathbb{R}u_{t-1} + \mathbb{R}^+v$, for every $v \in \mathbb{R}^n$. Since $g = D_{u_t} g$ both on $\mathbb{R}u_1 + \dots + \mathbb{R}u_{t-1}$ (by Lemma 2.6) and on $C(u_t, \varepsilon)$ (by our choice of ε), the conclusion follows. ■

LEMMA 2.8. *Let $f \in \text{FVL}(n)$. The following are equivalent:*

1. $f \in \text{Hom}(\mathbb{R}^n, \mathbb{R})$;
2. for every $u \in \mathbb{R}^n$, $D_u f = f$;
3. there exists a basis u_1, \dots, u_n of \mathbb{R}^n such that $D_{u_1} f = \dots = D_{u_n} f = f$;
4. there exists a basis u_1, \dots, u_n of \mathbb{R}^n and some $g \in \text{FVL}(n)$ with $f = D_{u_n} \dots D_{u_1} g$.

Proof. Clearly (1) \Rightarrow (2) \Rightarrow (3). We obtain (3) \Rightarrow (4) by taking $g = f$. Finally, (4) \Rightarrow (1) by Corollary 2.7(ii). ■

Of course, D_u distributes over $+$. The behavior of D_u with respect to the lattice operations is given by the following lemma.

LEMMA 2.9. *Let $f, g \in \text{FVL}(n)$, $u \in \mathbb{R}^n$. Then:*

- (i) $D_u(f \vee g) = D_u(D_u f \vee D_u g)$;
- (ii) *if $f(u) = g(u)$, then $D_u(f \vee g) = D_u f \vee D_u g$;*
- (iii) *if $f(u) < g(u)$, then $D_u(f \vee g) = D_u g$.*

Proof. If $u = 0$, then all our claims are trivial. Assume $u \neq 0$; using Lemma 2.3(iv), find $\varepsilon > 0$ such that $f = D_u f$ and $g = D_u g$ on $C(u, \varepsilon)$. Then $f \vee g = D_u f \vee D_u g$ on $C(u, \varepsilon)$, and (i) follows from Lemma 2.3(v). Suppose $f(u) = g(u)$. By Lemma 2.3(i), $D_u f$ and $D_u g$ coincide on $\mathbb{R}u$ and, moreover, both are linear on $\mathbb{R}u + \mathbb{R}^+v$, for every $v \in \mathbb{R}^n$. Therefore, $D_u f \vee D_u g$ is linear on $\mathbb{R}u + \mathbb{R}^+v$, for every $v \in \mathbb{R}^n$. By Lemma 2.4, $D_u(D_u f \vee D_u g) = D_u f \vee D_u g$, and we deduce (ii) from (i). Suppose now $f(u) < g(u)$. Since both f and g are continuous, we may assume $f < g$ on some $C(u, \varepsilon)$. Then $f \vee g = g$ on $C(u, \varepsilon)$, and (iii) follows from Lemma 2.3(v). ■

3. PRIME IDEALS IN FREE VECTOR LATTICES

We denote by $\mathbf{u}, \mathbf{v}, \dots$ orthonormal tuples $\mathbf{u} = (u_1, \dots, u_t)$ of elements of \mathbb{R}^n . An unspecified \mathbf{u} has always length t , with $1 \leq t \leq n$. We denote by $\otimes^t \mathbb{R}$ the direct product of t copies of \mathbb{R} , ordered lexicographically from left to right. Define

$$\varphi_{\mathbf{u}}: \text{FVL}(n) \rightarrow \otimes^t \mathbb{R}$$

by

$$\varphi_{\mathbf{u}}(f) = (f(u_1), D_{u_1} f(u_2), D_{u_2} D_{u_1} f(u_3), \dots, D_{u_{t-1}} \cdots D_{u_1} f(u_t)).$$

If $g = D_{u_{t-1}} \cdots D_{u_1} f$ and $h = D_{u_t} g$, then by Corollary 2.7(i) we have

$$\varphi_{\mathbf{u}}(f) = (g(u_1), \dots, g(u_t)) = (h(u_1), \dots, h(u_t)).$$

For every tuple $\varepsilon = (\varepsilon_1, \dots, \varepsilon_t)$ of strictly positive reals, let $S(\mathbf{u}, \varepsilon)$ be the cone generated by

$$\left\{ \sum_{j=1}^i \varepsilon_j u_j : 1 \leq i \leq t \right\}.$$

Finally, let

$$\mathfrak{p}_{\mathbf{u}} = \{f \in \text{FVL}(n) : \text{there exists } \varepsilon \text{ with } f = 0 \text{ on } S(\mathbf{u}, \varepsilon)\}.$$

THEOREM 3.1. *Every $\varphi_{\mathbf{u}}$ is an epimorphism with kernel $\mathfrak{p}_{\mathbf{u}}$.*

Proof. Since every D_u distributes over $+$, it follows that $\varphi_{\mathbf{u}}$ is a homomorphism with respect to the group structure. Let $\varphi_{\mathbf{u}}(f) = (\alpha_1, \dots, \alpha_t)$, $\varphi_{\mathbf{u}}(g) = (\beta_1, \dots, \beta_t)$. Without loss of generality, $\varphi_{\mathbf{u}}(f) \vee \varphi_{\mathbf{u}}(g) = \varphi_{\mathbf{u}}(g)$ in $\otimes^t \mathbb{R}$; this means that, for some $0 \leq j \leq t$, we have $\alpha_{j+1} < \beta_{j+1}$, while $\alpha_i = \beta_i$ for every $i \leq j$. Now:

(a) if $j = 0$, then $f(u_1) < g(u_1)$. By Lemma 2.9(iii), $D_{u_1}(f \vee g) = D_{u_1}g$, and so $\varphi_{\mathbf{u}}(f \vee g) = \varphi_{\mathbf{u}}(g)$;

(b) if $1 \leq j < t$, then

$$\begin{aligned} f(u_1) &= g(u_1) \\ D_{u_1}f(u_2) &= D_{u_1}g(u_2) \\ &\vdots = \vdots \\ D_{u_{j-1}} \cdots D_{u_1}f(u_j) &= D_{u_{j-1}} \cdots D_{u_1}g(u_j) \\ D_{u_j} \cdots D_{u_1}f(u_{j+1}) &< D_{u_j} \cdots D_{u_1}g(u_{j+1}). \end{aligned} \tag{*}$$

From these equalities and Lemma 2.9, we have

$$\begin{aligned} D_{u_1}(f \vee g) &= D_{u_1}f \vee D_{u_1}g \\ D_{u_2}D_{u_1}(f \vee g) &= D_{u_2}(D_{u_1}f \vee D_{u_1}g) \\ &= D_{u_2}D_{u_1}f \vee D_{u_2}D_{u_1}g \\ &\vdots = \vdots \\ D_{u_j}D_{u_{j-1}} \cdots D_{u_1}(f \vee g) &= D_{u_j}(D_{u_{j-1}} \cdots D_{u_1}f \vee D_{u_{j-1}} \cdots D_{u_1}g) \tag{**} \\ &= D_{u_j}D_{u_{j-1}} \cdots D_{u_1}f \vee D_{u_j}D_{u_{j-1}} \cdots D_{u_1}g \\ D_{u_{j+1}}D_{u_j} \cdots D_{u_1}(f \vee g) &= D_{u_{j+1}}(D_{u_j} \cdots D_{u_1}f \vee D_{u_j} \cdots D_{u_1}g) \\ &= D_{u_{j+1}}D_{u_j} \cdots D_{u_1}g. \end{aligned}$$

Hence $\varphi_{\mathbf{u}}(f \vee g) = \varphi_{\mathbf{u}}(g)$.

(c) if $j = t$, then we drop the last line from $(*)$ and the last two lines from $(**)$, arguing then as in (b).

The homomorphism $\varphi_{\mathbf{u}}$ is surjective: indeed, given $(\alpha_1, \dots, \alpha_t) \in \otimes^t \mathbb{R}$, choose $f \in \text{Hom}(\mathbb{R}^n, \mathbb{R}) \subseteq \text{FVL}(n)$ such that $f(u_i) = \alpha_i$. By Lemma 2.8, we obtain $\varphi_{\mathbf{u}}(f) = (\alpha_1, \dots, \alpha_t)$.

Let now $f \in \mathfrak{p}_{\mathbf{u}}$. We claim that, for every $1 \leq j \leq t-1$, we have $D_{u_j} \cdots D_{u_1} f \in \mathfrak{p}_{(u_{j+1}, \dots, u_t)}$; from this the inclusion $\mathfrak{p}_{\mathbf{u}} \subseteq \ker \varphi_{\mathbf{u}}$ is immediate. Working by induction on t , it suffices to show that $f \in \mathfrak{p}_{\mathbf{u}}$ implies $D_{u_1} f \in \mathfrak{p}_{(u_2, \dots, u_t)}$. Let $\varepsilon = (\varepsilon_1, \dots, \varepsilon_t)$ be such that $f = 0$ on $S(\mathbf{u}, \varepsilon)$; without loss of generality $\varepsilon_1 = 1$. We prove that $D_{u_1} f = 0$ on $S((u_2, \dots, u_t), (\varepsilon_2, \dots, \varepsilon_t))$. Indeed, let

$$v = \lambda_2 \varepsilon_2 u_2 + \lambda_3 (\varepsilon_2 u_2 + \varepsilon_3 u_3) + \cdots + \lambda_t (\varepsilon_2 u_2 + \cdots + \varepsilon_t u_t),$$

for certain $\lambda_2, \dots, \lambda_t \in \mathbb{R}^+$. Choose $h_0 > 0$ such that, for $0 < h \leq h_0$, we have $\lambda_1(h) = 1 - h(\lambda_2 + \cdots + \lambda_t) > 0$. Then, for $0 < h \leq h_0$, we obtain

$$\begin{aligned} u_1 + hv &= u_1 + h\lambda_2 \varepsilon_2 u_2 + h\lambda_3 (\varepsilon_2 u_2 + \varepsilon_3 u_3) + \cdots + h\lambda_t (\varepsilon_2 u_2 + \cdots + \varepsilon_t u_t) \\ &= \lambda_1(h)u_1 + h\lambda_2(u_1 + \varepsilon_2 u_2) + h\lambda_3(u_1 + \varepsilon_2 u_2 + \varepsilon_3 u_3) + \cdots \\ &\quad + h\lambda_t(u_1 + \varepsilon_2 u_2 + \cdots + \varepsilon_t u_t) \\ &\in S(\mathbf{u}, \varepsilon). \end{aligned}$$

Hence,

$$D_{u_1} f(v) = \lim_{h \geq h \rightarrow 0^+} \frac{f(u_1 + hv) - f(u_1)}{h} = 0,$$

and we are through, since v is an arbitrary element of $S((u_2, \dots, u_t), (\varepsilon_2, \dots, \varepsilon_t))$.

We now prove the inclusion $\ker \varphi_{\mathbf{u}} \subseteq \mathfrak{p}_{\mathbf{u}}$. Let $f \in \text{FVL}(n)$ be such that

$$f(u_1) = D_{u_1} f(u_2) = \cdots = D_{u_{t-1}} \cdots D_{u_1} f(u_t) = 0,$$

and let $g = D_{u_1} f$. Then

$$g(u_2) = D_{u_2} g(u_3) = \cdots = D_{u_{t-1}} \cdots D_{u_2} g(u_t) = 0,$$

and by induction on t we may assume $g \in \mathfrak{p}_{(u_2, \dots, u_t)}$, i.e., $g = 0$ on $S((u_2, \dots, u_t), (\varepsilon_2, \dots, \varepsilon_t))$, for certain $\varepsilon_2, \dots, \varepsilon_t > 0$. By Lemma 2.3(i), g is linear on $\mathbb{R}u_1 + \mathbb{R}^+v$, for every $v \in \mathbb{R}^n$. Since $g(u_1) = f(u_1) = 0$, it follows that g is identically 0 on the cone spanned by u_1 and

$S((u_2, \dots, u_t), (\varepsilon_2, \dots, \varepsilon_t))$, i.e., on

$$\mathbb{R}^+u_1 + \mathbb{R}^+\varepsilon_2u_2 + \mathbb{R}^+(\varepsilon_2u_2 + \varepsilon_3u_3) + \dots + \mathbb{R}^+(\varepsilon_2u_2 + \dots + \varepsilon_tu_t).$$

Hence, by Lemma 2.3(iv), for $\zeta > 0$ sufficiently small, f is identically 0 on

$$\begin{aligned} &\mathbb{R}^+u_1 + \mathbb{R}^+(u_1 + \zeta\varepsilon_2u_2) + \mathbb{R}^+(u_1 + \zeta(\varepsilon_2u_2 + \varepsilon_3u_3)) \\ &+ \dots + \mathbb{R}^+(u_1 + \zeta(\varepsilon_2u_2 + \dots + \varepsilon_tu_t)). \end{aligned}$$

This proves $f \in \mathfrak{p}_{\mathbf{u}}$. ■

We spell out the following corollary, which will be needed later.

COROLLARY 3.2. *Let $f \in \text{FVL}(n)$, $\mathbf{u} = (u_1, \dots, u_t)$, $g = D_{u_{t-1}} \dots D_{u_1}f$, $h = D_{u_t}g$. The following are equivalent:*

1. $f \in \mathfrak{p}_{\mathbf{u}}$;
2. $f(u_1) = D_{u_1}f(u_2) = D_{u_2}D_{u_1}f(u_3) = \dots = D_{u_{t-1}} \dots D_{u_1}f(u_t) = 0$;
3. $g(u_i) = 0$ for every $1 \leq i \leq t$;
4. $h(u_i) = 0$ for every $1 \leq i \leq t$;
5. $g = 0$ on $\mathbb{R}u_1 + \dots + \mathbb{R}u_{t-1} + \mathbb{R}^+u_t$;
6. $h = 0$ on $\mathbb{R}u_1 + \dots + \mathbb{R}u_{t-1} + \mathbb{R}u_t$;
7. for every $v \in \mathbb{R}^n$, h has constant value $h(v)$ on $\mathbb{R}u_1 + \dots + \mathbb{R}u_t$

+v.

Proof. (1) is equivalent to (2) by Theorem 3.1. (2)–(6) are all equivalent by Corollary 2.7(i) and (ii). (7) implies (6) by taking $v = 0$. (6) implies (7) by Corollary 2.7(ii). ■

Our aim is now to show that every epimorphism from $\text{FVL}(n)$ onto a totally ordered vector lattice coincides—up to isomorphism—with some $\varphi_{\mathbf{u}}$; in other words, that the prime ideals of $\text{FVL}(n)$ are exactly the various $\mathfrak{p}_{\mathbf{u}}$'s. In the course of the proof, we will determine the structure of the germinal ideal (see Definition 3.7) associated to each prime ideal of $\text{FVL}(n)$. We first generalize the construction of $C(u, \varepsilon)$ as follows: let $\varepsilon = (\varepsilon_1, \dots, \varepsilon_t)$, with $\varepsilon_i > 0$ for every i . We let $C(\mathbf{u}, \varepsilon)$ be the cone spanned by

$$S(\mathbf{u}, (1, \varepsilon_1, \dots, \varepsilon_{t-1})) \cup C(u_1 + \varepsilon_1u_2 + \dots + \varepsilon_{t-1}u_t, \varepsilon_t).$$

Note that if $\mathbf{u} = (u)$ and $\varepsilon = (\varepsilon)$, then $C(\mathbf{u}, \varepsilon) = C(u, \varepsilon)$.

Let now

$$\mathfrak{g}_{\mathbf{u}} = \{f \in \text{FVL}(n) : \text{there exists } \varepsilon \text{ such that } f = 0 \text{ on } C(\mathbf{u}, \varepsilon)\}.$$

For a fixed \mathbf{u} , the set of all $C(\mathbf{u}, \varepsilon)$, as ε varies, is lower directed with respect to inclusion (easy proof). Hence $\mathfrak{g}_{\mathbf{u}}$ is an ideal of $\text{FVL}(n)$, and $\mathfrak{g}_{\mathbf{u}} \cap \text{F}\mathcal{L}(n)$ (which we still denote by $\mathfrak{g}_{\mathbf{u}}$) is an ideal of $\text{F}\mathcal{L}(n)$.

LEMMA 3.3. *For every $f \in \text{FVL}(n)$ and every \mathbf{u} there exists ε such that*

$$f = D_{u_1}f = D_{u_2}D_{u_1}f = \cdots = D_{u_t} \cdots D_{u_1}f$$

on $C(\mathbf{u}, \varepsilon)$.

Proof. From Corollary 2.7(iv), there exist $\delta_1, \dots, \delta_t > 0$ such that $f = D_{u_1}f$ on $C(u_1, \delta_1)$ and, for every $2 \leq i \leq t$, $D_{u_{i-1}} \cdots D_{u_1}f = D_{u_i}D_{u_{i-1}} \cdots D_{u_1}f$ on $\mathbb{R}u_1 + \cdots + \mathbb{R}u_{i-1} + C(u_i, \delta_i)$. A trivial computation shows now that we can find ε small enough to have

$$C(\mathbf{u}, \varepsilon) \subseteq C(u_1, \delta_1) \cap \bigcap_{2 \leq i \leq t} (\mathbb{R}u_1 + \cdots + \mathbb{R}u_{i-1} + C(u_i, \delta_i)).$$

■

COROLLARY 3.4. *Let $\alpha \supseteq \mathfrak{g}_{\mathbf{u}}$ be an ideal of $\text{FVL}(n)$ and let $f \in \text{FVL}(n)$. Then the set $F = \{f, D_{u_1}f, D_{u_2}D_{u_1}f, \dots, D_{u_t} \cdots D_{u_1}f\}$ is either disjoint with α or contained in α . The same statement holds if $\text{FVL}(n)$ is replaced by $\text{F}\mathcal{L}(n)$.*

Proof. From Lemma 3.3, if $g, h \in F$, then $g - h \in \mathfrak{g}_{\mathbf{u}} \subseteq \alpha$. Therefore, if some element of F is in α , then all the others are in α as well. ■

THEOREM 3.5. *Fix \mathbf{u} and let $0 \leq f \in \text{FVL}(n)$. The following are equivalent:*

1. $f \in \mathfrak{g}_{\mathbf{u}}$;
2. there exists $0 < g \notin \mathfrak{p}_{\mathbf{u}}$ such that $f \wedge g = 0$;
3. $f(u_1) = D_{u_1}f(u_2) = D_{u_2}D_{u_1}f(u_3) = \cdots = D_{u_{t-2}} \cdots D_{u_1}f(u_{t-1}) = 0$, and there exists $\varepsilon > 0$ such that $D_{u_{t-1}} \cdots D_{u_1}f \upharpoonright C(u_t, \varepsilon) = 0$;
4. $D_{u_t} \cdots D_{u_1}f = 0$.

If $f \in \text{F}\mathcal{L}(n)$ and the above conditions hold, then in (2) we can take $g \in \text{F}\mathcal{L}(n)$.

Proof. (1) \Rightarrow (2) Let ε be such that $f = 0$ on $C(\mathbf{u}, \varepsilon)$, and let D be the topological interior of $C(\mathbf{u}, \varepsilon)$. Then D is convex and n -dimensional. Choose a complete simplicial fan Σ such that f is linear on each cone of Σ ; if $f \in \text{F}\mathcal{L}(n)$, we take Σ to be unimodular as well. Let $\Delta = \{\sigma \in \Sigma(n) : \sigma \cap D \neq \emptyset\}$, $|\Delta| = \bigcup\{\sigma : \sigma \in \Delta\} \supseteq D$. If $\sigma \in \Delta$, then $\sigma \cap D$ is n -dimensional; hence $f = 0$ on $|\Delta|$. Let $A \supseteq D$ be the topological interior of $|\Delta|$. We can easily construct $g \in \text{FVL}(n)$ such that $g(v) > 0$ for every

$v \in A$, while $g(v) = 0$ for every $v \in \mathbb{R}^n \setminus A$; moreover, if Σ is unimodular, then we can take $g \in F\mathcal{L}(n)$. It is clear that $f \wedge g = 0$, and we need only prove that $g \notin \mathfrak{p}_{\mathbf{u}}$. Suppose not. Then we can choose $\delta = (\delta_1, \dots, \delta_t)$ small enough to have $g = 0$ on $S(\mathbf{u}, \delta) \subseteq C(\mathbf{u}, \varepsilon)$. By definition of $C(\mathbf{u}, \varepsilon)$, there exists $v \in S(\mathbf{u}, \delta) \cap D \subseteq A$. But then $g(v) > 0$, which is impossible.

(2) \Rightarrow (3) Let $0 < g \notin \mathfrak{p}_{\mathbf{u}}$ with $f \wedge g = 0$; we work by induction on t . If $t = 1$ then, since g is continuous, there must exist ε_1 with $g > 0$ on $C(u_1, \varepsilon_1) \setminus \{0\}$. Hence $f \upharpoonright C(u_1, \varepsilon_1) = 0$, which is what we wanted to prove. Let $t > 1$, and let $\mathbf{v} = (u_1, \dots, u_{t-1})$; then $\mathfrak{p}_{\mathbf{v}} \supset \mathfrak{p}_{\mathbf{u}}$. If $g \notin \mathfrak{p}_{\mathbf{v}}$, then by inductive hypothesis $f(u_1) = D_{u_1}f(u_2) = \dots = D_{u_{t-3}} \dots D_{u_1}f(u_{t-2}) = 0$ and $D_{u_{t-2}} \dots D_{u_1}f \upharpoonright C(u_{t-1}, \delta) = 0$ for some $\delta > 0$. By Lemma 2.3(v), $D_{u_{t-1}} \dots D_{u_1}f$ is identically 0 over \mathbb{R}^n , and we are through. If, on the other hand, $g \in \mathfrak{p}_{\mathbf{v}} \setminus \mathfrak{p}_{\mathbf{u}}$, then by Corollary 3.2 $g(u_1) = D_{u_1}g(u_2) = \dots = D_{u_{t-2}} \dots D_{u_1}g(u_{t-1}) = 0$, while $D_{u_{t-1}} \dots D_{u_1}g(u_t) > 0$. We claim that $f(u_1) = D_{u_1}f(u_2) = \dots = D_{u_{t-2}} \dots D_{u_1}f(u_{t-1}) = 0$. Suppose not, and take the first i such that $D_{u_{i-1}} \dots D_{u_1}f(u_i) > 0$ (here $1 \leq i \leq t-1$, and $i = 1$ means $f(u_1) > 0$). Then

$$\begin{aligned} 0 &= D_{u_i} D_{u_{i-1}} \dots D_{u_1}(f \wedge g) \quad (\text{since } f \wedge g = 0) \\ &= D_{u_i}(D_{u_{i-1}} \dots D_{u_1}f \wedge D_{u_{i-1}} \dots D_{u_1}g) \quad (\text{by Lemma 2.9(ii)}) \\ &= D_{u_i} D_{u_{i-1}} \dots D_{u_1}g \quad (\text{by Lemma 2.9(iii)}), \end{aligned}$$

which contradicts $D_{u_{t-1}} \dots D_{u_1}g(u_t) > 0$. From our claim, we infer

$$\begin{aligned} 0 &= D_{u_{t-1}} \dots D_{u_1}(f \wedge g) \\ &= (D_{u_{t-1}} \dots D_{u_1}f) \wedge (D_{u_{t-1}} \dots D_{u_1}g) \end{aligned}$$

and, since $D_{u_{t-1}} \dots D_{u_1}g(u_t) > 0$, we conclude that $D_{u_{t-1}} \dots D_{u_1}f$ equals 0 on some $C(u_t, \varepsilon)$.

(3) \Rightarrow (1) Induction on t ; if $t = 1$, then $f \upharpoonright C(u_1, \varepsilon) = 0$, and $f \in \mathfrak{g}_{u_1}$ by definition. Assume $t > 1$ and let $h = D_{u_1}f$. Then by inductive hypothesis there exists $\delta = (\delta_2, \dots, \delta_t)$ such that, writing \mathbf{v} for (u_2, \dots, u_t) , we have $h \upharpoonright C(\mathbf{v}, \delta) = 0$. Now, $h(u_1) = f(u_1) = 0$, and from Lemma 2.7(ii) we see that $h = 0$ on $\mathbb{R}u_1 + C(\mathbf{v}, \delta)$. From Lemma 2.3(iv), there exists μ such that $f = 0$ on

$$C(u_1, \mu) \cap [\mathbb{R}u_1 + C(\mathbf{v}, \delta)].$$

It is a trivial matter to verify that this latter set contains a set of the form $C(\mathbf{u}, \varepsilon)$.

(3) \Leftrightarrow (4) By Lemma 2.3(v) and Corollary 2.7(i). \blacksquare

COROLLARY 3.6. *Both in $\text{FVL}(n)$ and in $\text{F}\mathcal{L}(n)$, we have $D_{u_i} \cdots D_{u_1} f = D_{u_i} \cdots D_{u_1} g$ iff $f/\mathfrak{g}_{\mathbf{u}} = g/\mathfrak{g}_{\mathbf{u}}$.*

DEFINITION 3.7. Let G be an \mathcal{L} -group; $\text{Spec } G$ is the set of prime ideals of G , ordered by inclusion and endowed with the Zariski topology. It is well known that $\text{Spec } G$, as a poset, is a *root system* (i.e., the elements greater than any given element form a chain). If $\mathfrak{p} \in \text{Spec } G$, then the *germinal* of \mathfrak{p} is the ideal $\nu_{\mathfrak{p}}$ of G which is defined by any of the following equivalent conditions [BKW77, Proposition 10.5.3]:

1. $\nu_{\mathfrak{p}} = \{a \in G : \text{there exists } b \in G^+ \setminus \mathfrak{p} \text{ with } |a| \wedge b = 0\}$;
2. $\nu_{\mathfrak{p}} = \{a \in G : \{\mathfrak{q} \in \text{Spec } G : a \in \mathfrak{q}\} \text{ is a neighborhood of } \mathfrak{p}\}$;
3. $\nu_{\mathfrak{p}} = \bigcap \{\mathfrak{q} \in \text{Spec } G : \mathfrak{q} \subseteq \mathfrak{p}\}$;
4. $\nu_{\mathfrak{p}}$ is the only ideal of G that satisfies the following: for every $\mathfrak{q} \in \text{Spec } G$, \mathfrak{q} is comparable (w.r.t. inclusion) with \mathfrak{p} iff $\mathfrak{q} \supseteq \nu_{\mathfrak{p}}$;
5. $\nu_{\mathfrak{p}}$ is the smallest ideal of G such that $G/\nu_{\mathfrak{p}} \simeq G/\mathfrak{p} \otimes \mathfrak{p}/\nu_{\mathfrak{p}}$.

The quotient $G/\nu_{\mathfrak{p}}$ is the \mathcal{L} -group of germs of functions at \mathfrak{p} , and may be interpreted as the localization of G at \mathfrak{p} [BKW77, Definition 10.5.6]. From Theorem 3.5 we see that the germinal of $\mathfrak{p}_{\mathbf{u}}$ is $\mathfrak{g}_{\mathbf{u}}$, both in $\text{FVL}(n)$ and in $\text{F}\mathcal{L}(n)$.

The following Theorem 3.8 provides us with an explicit description of $\text{Spec FVL}(n)$. In the next section we will develop a corresponding picture for $\text{F}\mathcal{L}(n)$. We define the *height* of the prime ideal \mathfrak{p} in the \mathcal{L} -group G to be the supremum of all h for which there exists a strictly increasing sequence of primes

$$\mathfrak{p}_0 \subset \mathfrak{p}_1 \subset \cdots \subset \mathfrak{p}_h = \mathfrak{p}.$$

The *dimension* of G is $\dim G = \sup\{\text{height } \mathfrak{p} : \mathfrak{p} \in \text{Spec } G\}$.

For $U \subseteq \mathbb{R}^n$, U^{\perp} is the subspace of all $v \in \mathbb{R}^n$ that are orthogonal to every $u \in U$. If $\mathbf{u} = (u_1, \dots, u_t)$, we abbreviate $\{u_1, \dots, u_t\}^{\perp}$ with \mathbf{u}^{\perp} ; therefore $\mathbf{u}^{\perp \perp} = \mathbb{R}u_1 + \cdots + \mathbb{R}u_t$. If $\mathbf{u} = (u_1, \dots, u_t)$ and $v \in \mathbb{R}^n$, then $\mathbf{u} * v$ denotes (u_1, \dots, u_t, v) . For $f \in \text{FVL}(n)$, Zf is the zero-set of f , i.e., $Zf = \{v \in \mathbb{R}^n : f(v) = 0\}$.

THEOREM 3.8. *The mapping $\mathbf{u} \mapsto \mathfrak{p}_{\mathbf{u}}$ is an order-reversing bijection from the poset of orthonormal tuples (ordered by $\mathbf{u} \leq \mathbf{v}$ iff \mathbf{u} is an initial segment of \mathbf{v}) onto $\text{Spec FVL}(n)$. The mapping $\mathfrak{p}_{\mathbf{u}} \mapsto \mathfrak{g}_{\mathbf{u}}$ associates to every prime its germinal, and is an order-reversing bijection between the root system of prime ideals and the poset of germinal ideals. If $\mathbf{u} = (u_1, \dots, u_t)$, then $\text{height } \mathfrak{p}_{\mathbf{u}} = n - t$, $\dim \text{FVL}(n)/\mathfrak{p}_{\mathbf{u}} = t - 1$, and $\text{height } \mathfrak{p}_{\mathbf{u}} + \dim \text{FVL}(n)/\mathfrak{p}_{\mathbf{u}} = n - 1$*

$= \dim \text{FVL}(n)$. We have

$$\begin{aligned} \frac{\mathfrak{p}_{\mathbf{u}}}{\mathfrak{g}_{\mathbf{u}}} &\simeq \text{the subvector lattice } \{D_{u_t} \cdots D_{u_1} f : f \in \mathfrak{p}_{\mathbf{u}}\} \text{ of } \text{FVL}(n) \\ &\simeq \text{FVL}(n - t), \end{aligned}$$

and hence the localization of $\text{FVL}(n)$ at $\mathfrak{p}_{\mathbf{u}}$ is

$$\begin{aligned} \frac{\text{FVL}(n)}{\mathfrak{g}_{\mathbf{u}}} &\simeq \frac{\text{FVL}(n)}{\mathfrak{p}_{\mathbf{u}}} \otimes \frac{\mathfrak{p}_{\mathbf{u}}}{\mathfrak{g}_{\mathbf{u}}} \\ &\simeq \otimes^t \mathbb{R} \otimes \text{FVL}(n - t). \end{aligned}$$

Proof. The mapping $\mathbf{u} \mapsto \mathfrak{p}_{\mathbf{u}}$ is clearly injective and order-reversing. Let \mathfrak{p} be any prime ideal and let $W = S^{n-1} \cap \{Zf : f \in \mathfrak{p}\}$. It cannot be true that $W = \emptyset$, for otherwise by the compactness of S^{n-1} the proper ideal \mathfrak{p} would contain a strong unit (i.e., a cofinal element of $\text{FVL}(n)^+$). Say $u \in W$, so that $\mathfrak{p} \subseteq \mathfrak{p}_{(u)}$. Fix the greatest t such that $\mathfrak{p} \subseteq \mathfrak{p}_{\mathbf{u}}$, for some $\mathbf{u} = (u_1, \dots, u_t)$. We already noted that the germinal of $\mathfrak{p}_{\mathbf{u}}$ is $\mathfrak{g}_{\mathbf{u}}$. By Definition 3.7(3) $\mathfrak{g}_{\mathbf{u}} \subseteq \mathfrak{p}$, and by Corollary 3.4 $\{D_{u_t} \cdots D_{u_1} f : f \in \mathfrak{p}\} \subseteq \mathfrak{p}$. Let

$$V = S^{n-1} \cap \mathbf{u}^{\perp} \cap \{ZD_{u_t} \cdots D_{u_1} f : f \in \mathfrak{p}\}.$$

We must have $V = \emptyset$, for otherwise, if $v \in V$, then we would have $\mathfrak{p} \subseteq \mathfrak{p}_{\mathbf{u} * v}$ by Corollary 3.2. By the compactness of $S^{n-1} \cap \mathbf{u}^{\perp}$, there exist $f_1, \dots, f_q \in \mathfrak{p}$ with

$$S^{n-1} \cap \mathbf{u}^{\perp} \cap ZD_{u_t} \cdots D_{u_1} f_1 \cap \cdots \cap ZD_{u_t} \cdots D_{u_1} f_q = \emptyset.$$

Let

$$g = \bigvee_{1 \leq j \leq q} |D_{u_t} \cdots D_{u_1} f_j| \in \mathfrak{p}.$$

Then $g = 0$ on $\mathbf{u}^{\perp \perp}$ (since every $D_{u_t} \cdots D_{u_1} f_j$ is 0 on $\mathbf{u}^{\perp \perp}$) and $g > 0$ on every point of $\mathbf{u}^{\perp} \setminus \{0\}$. By Corollary 3.2(7), every $D_{u_t} \cdots D_{u_1} f_j$ is constant on $\mathbf{u}^{\perp \perp} + v$, for every $v \in \mathbb{R}^n$. By using the direct sum decomposition $\mathbb{R}^n = \mathbf{u}^{\perp \perp} \oplus \mathbf{u}^{\perp}$, we see that $g > 0$ on every point of $\mathbb{R}^n \setminus \mathbf{u}^{\perp \perp}$. Let f be any element of $\mathfrak{p}_{\mathbf{u}}$. By Corollary 3.2, $D_{u_t} \cdots D_{u_1} f = 0$ on $\mathbf{u}^{\perp \perp}$, and therefore $Zg \subseteq Z|D_{u_t} \cdots D_{u_1} f|$. It is well known that, in this case, there exists a positive integer m such that $mg \geq |D_{u_t} \cdots D_{u_1} f|$ [Bak68, Lemma 3.3; Mad85, Lemma 1.4]. We conclude that $D_{u_t} \cdots D_{u_1} f \in \mathfrak{p}$ and, again by Corollary 3.4, $f \in \mathfrak{p}$. Since f was arbitrary, we obtain $\mathfrak{p} = \mathfrak{p}_{\mathbf{u}}$.

The mapping $\mathfrak{p}_{\mathbf{u}} \mapsto \mathfrak{g}_{\mathbf{u}}$ is injective and order-reversing by Theorem 3.5. The statements about height and dimension follow from the order anti-isomorphism $\mathbf{u} \mapsto \mathfrak{p}_{\mathbf{u}}$.

Let us now extend u_1, \dots, u_t to an orthonormal basis $u_1, \dots, u_t, u_{t+1}, \dots, u_n$ of \mathbb{R}^n . We perform a linear change of coordinates, so that each element of $\text{FVL}(n)$ is expressed as in Definition 1.1(3), with the projection x_i giving the u_i th coordinate. Then the restriction mapping $f \mapsto f \upharpoonright \mathbf{u}^\perp$ corresponds to setting every x_i , for $i \leq t$, to 0, and is a vector lattice epimorphism $\psi: \text{FVL}(n) \rightarrow \text{FVL}(n-t)$. Let $\chi: \mathfrak{p}_{\mathbf{u}} \rightarrow \text{FVL}(n)$ be defined by $\chi(f) = D_{u_t} \cdots D_{u_1} f$ and let H be the image of χ . By Lemma 2.9(ii) and Corollary 3.2 χ is a vector lattice homomorphism, and by Theorem 3.5 $\ker \chi = \mathfrak{g}_{\mathbf{u}}$. We conclude that $H \simeq \mathfrak{p}_{\mathbf{u}}/\mathfrak{g}_{\mathbf{u}}$. By Corollary 3.2(7), every $h \in H$ is constant on $\mathbf{u}^\perp + v$, for every $v \in \mathbb{R}^n$; this shows that $\psi \upharpoonright H: H \rightarrow \text{FVL}(n-t)$ is bijective, and hence an isomorphism. Our last statement follows from Definition 3.7(5). ■

4. PRIME IDEALS IN FREE \mathcal{L} -GROUPS

In passing from $\text{FVL}(n)$ to $\text{F}\mathcal{L}(n)$, the main point at issue is that, while for every $u \in S^{n-1}$ there exists $f \in \text{FVL}(n)$ and a neighborhood (w.r.t. S^{n-1}) U of u with $f(u) = 0$ and $f > 0$ in $U \setminus \{u\}$, such an f may not exist in $\text{F}\mathcal{L}(n)$. The simplest example is for $n = 2$; any $f \in \text{F}\mathcal{L}(2)$ which is 0 in $u = (\sqrt{3}/2, 1/2) \in S^1$ must be 0 in some neighborhood of u .

The function $\varphi_{\mathbf{u}}: \text{FVL}(n) \rightarrow \otimes^t \mathbb{R}$ defined at the beginning of Section 3 is still a homomorphism if restricted to $\text{F}\mathcal{L}(n)$ (of course, it is not surjective). For simplicity's sake, we continue to denote its kernel $\mathfrak{p}_{\mathbf{u}} \cap \text{F}\mathcal{L}(n)$ by $\mathfrak{p}_{\mathbf{u}}$. Theorem 3.5 ensures that, even in $\text{F}\mathcal{L}(n)$, the germinal of $\mathfrak{p}_{\mathbf{u}}$ is $\mathfrak{g}_{\mathbf{u}}$. Hence, the same argument used in the proof of Theorem 3.8 shows that every prime ideal of $\text{F}\mathcal{L}(n)$ is of the form $\mathfrak{p}_{\mathbf{u}}$, and $\mathfrak{p}_{\mathbf{u}} \mapsto \mathfrak{g}_{\mathbf{u}}$ is still an anti-isomorphism between the root system of prime ideals and the poset of germinals.

On the other hand, the order-reversing surjection $\mathbf{u} \mapsto \mathfrak{p}_{\mathbf{u}}$ from the poset of orthonormal tuples to $\text{Spec } \text{F}\mathcal{L}(n)$ is not injective any more. For example, if $n = 2$, $u = (\sqrt{3}/2, 1/2)$, and $v = (-1/2, \sqrt{3}/2)$, then $\mathfrak{p}_{(u,v)} = \mathfrak{p}_{(u)} = \mathfrak{g}_{(u)} = \mathfrak{g}_{(u,v)} = \{\text{functions in } \text{F}\mathcal{L}(2) \text{ that are 0 in a neighborhood of } u\}$. The following definition aims at re-establishing injectiveness. Let us first recall that a subspace of \mathbb{R}^n has a basis of elements in \mathbb{Z}^n iff it is definable via linear equations with integer coefficients.

DEFINITION 4.1. Let $\mathbf{u} = (u_1, \dots, u_t)$ be an orthonormal tuple. We let $U_{\mathbf{u}}$ be the subspace of \mathbb{R}^n defined by

$$U_{\mathbf{u}} = (\mathbf{u}^\perp \cap \mathbb{Z}^n)^\perp.$$

The *rank* of \mathbf{u} , denoted $\text{rank}(\mathbf{u})$, is the dimension of $U_{\mathbf{u}}$ as a real vector space (equivalently, the rank of $U_{\mathbf{u}} \cap \mathbb{Z}^n$ as a free \mathbb{Z} -module). The \mathbb{Z} -*reduction* of \mathbf{u} , denoted $\text{red}(\mathbf{u})$, is the orthonormal tuple defined by induction on t as follows:

- if $t = 1$, then $\text{red}(\mathbf{u}) = \mathbf{u}$;
- if $t > 1$ and $\mathbf{u} = \mathbf{v} * w$, write $w = p + q$, with $p \in U_{\text{red}(\mathbf{v})}$ and $q \in U_{\text{red}(\mathbf{v})}^\perp$; if $q = 0$, define $\text{red}(\mathbf{u}) = \text{red}(\mathbf{v})$, while if $q \neq 0$, define $\text{red}(\mathbf{u}) = \text{red}(\mathbf{v}) * (q/\|q\|)$.

If $\text{red}(\mathbf{u}) = \mathbf{u}$, then we say that \mathbf{u} is \mathbb{Z} -*reduced*. If $t = 1$, say $\mathbf{u} = (u)$ with $u = (u^1, \dots, u^n)$, then we write U_u and $\text{rank}(u)$ for $U_{\mathbf{u}}$ and $\text{rank}(\mathbf{u})$. This definition of rank is sensible, because $\text{rank}(u)$ coincides with the rank of the free abelian group generated by u^1, \dots, u^n in \mathbb{R} [see Theorem 4.7(ii)].

LEMMA 4.2. *We have:*

(i) $U_{\mathbf{u}} = \cap \{\ker f : f \upharpoonright \mathbb{Z}^n \in \text{Hom}(\mathbb{Z}^n, \mathbb{Z}) \text{ and } \mathbf{u}^{\perp \perp} \subseteq \ker f\}$ = the intersection of all subspaces of \mathbb{R}^n that contain u_1, \dots, u_t and are definable via equations with integer coefficients;

(ii) $\mathbf{u}^{\perp} \cap \mathbb{Z}^n = \text{red}(\mathbf{u})^{\perp} \cap \mathbb{Z}^n$ (and hence $U_{\mathbf{u}} = U_{\text{red}(\mathbf{u})}$);

(iii) for every $f \in \text{Hom}(\mathbb{Z}^n, \mathbb{Z})$, $f \upharpoonright \mathbf{u}^{\perp \perp} = 0$ iff $f \upharpoonright \text{red}(\mathbf{u})^{\perp \perp} = 0$;

(iv) $U_{\mathbf{u}}^{\perp} \cap \mathbb{Z}^n = \mathbf{u}^{\perp} \cap \mathbb{Z}^n$;

(v) if $\mathbf{u} = (u_1, \dots, u_t)$ is \mathbb{Z} -reduced, then $U_{\mathbf{u}}$ is the direct sum

$$U_{\mathbf{u}} = U_{u_1} \oplus U_{u_2} \oplus \dots \oplus U_{u_t},$$

and in particular, $\text{rank}(\mathbf{u}) = \sum_{1 \leq i \leq t} \text{rank}(u_i)$.

Proof. The identification of \mathbb{Z}^n with its dual makes (i) obvious. We prove (ii) by induction on t . If $t = 1$, then the statement is trivial. Let $t > 1$ and let $\mathbf{u} = \mathbf{v} * w$. By inductive hypothesis, $\mathbf{v}^{\perp} \cap \mathbb{Z}^n = \text{red}(\mathbf{v})^{\perp} \cap \mathbb{Z}^n$. Decompose w as in Definition 4.1.

Case 1. $q = 0$. We need to prove $\mathbf{u}^{\perp} \cap \mathbb{Z}^n = \text{red}(\mathbf{v})^{\perp} \cap \mathbb{Z}^n$. The \subseteq inclusion is clear. Let $z \in \text{red}(\mathbf{v})^{\perp} \cap \mathbb{Z}^n$. Then $z \in \mathbf{v}^{\perp} \cap \mathbb{Z}^n$ by inductive hypothesis, and z is orthogonal to w because $q = 0$ yields $w \in (\text{red}(\mathbf{v})^{\perp} \cap \mathbb{Z}^n)^{\perp}$.

Case 2. $q \neq 0$. We need to prove $\mathbf{v}^{\perp} \cap w^{\perp} \cap \mathbb{Z}^n = \text{red}(\mathbf{v})^{\perp} \cap q^{\perp} \cap \mathbb{Z}^n$. Let $z \in \mathbf{v}^{\perp} \cap w^{\perp} \cap \mathbb{Z}^n$. Then $z \in \text{red}(\mathbf{v})^{\perp}$ by inductive hypothesis. Also, $0 = \langle z, w \rangle = \langle z, p \rangle + \langle z, q \rangle = \langle z, q \rangle$, and hence $z \in q^{\perp}$ ($\langle -, - \rangle$ denotes dot product). One proves similarly the \supseteq inclusion.

(iii) follows clearly from (ii), and (iv) is straightforward from the definitions. We prove (v) working by induction on t ; it suffices to show that

$U_{\mathbf{u}} = U_{\mathbf{v}} \oplus U_w$, under the hypothesis $\mathbf{u} = \mathbf{v} * w$. By definition, both $U_{\mathbf{v}}$ and U_w are contained in $U_{\mathbf{u}}$. Since \mathbf{u} is \mathbb{Z} -reduced, $U_{\mathbf{v}}^{\perp}$ is a subspace of \mathbb{R}^n that contains w and is definable via integer equations. By (i), $U_w \subseteq U_{\mathbf{v}}^{\perp}$, and hence $U_{\mathbf{v}} \cap U_w = \{0\}$. Look at $U_{\mathbf{v}} + U_w$; as a subspace of \mathbb{R}^n , it has a basis of vectors in \mathbb{Z}^n . Therefore, it is definable via integer equations, and we have

$$\begin{aligned} U_{\mathbf{v}} + U_w &= \bigcap \{z^{\perp} : z \in \mathbb{Z}^n, U_{\mathbf{v}} \subseteq z^{\perp}, U_w \subseteq z^{\perp}\} \\ &= \bigcap \{z^{\perp} : z \in \mathbb{Z}^n \cap \mathbf{v}^{\perp} \cap w^{\perp}\} \\ &= U_{\mathbf{u}}. \end{aligned}$$

■

Note that in general $U_{\mathbf{u}} \cap \mathbb{Z}^n$ is not the direct sum of $U_{u_i} \cap \mathbb{Z}^n$, for $1 \leq i \leq t$; take $n = t = 2$, with $u_1 = (1/\sqrt{2}, 1/\sqrt{2})$ and $u_2 = (-1/\sqrt{2}, 1/\sqrt{2})$.

LEMMA 4.3. *Let $f \in F\mathcal{L}(n)$, $\mathbf{u} = (u_1, \dots, u_t)$, $h = D_{u_t} \cdots D_{u_1} f$. Then, for every $v \in \mathbb{R}^n$ and $u \in U_{\mathbf{u}}$, we have $D_{u+v} h = D_v h$. In particular, $D_u h = D_0 h = h$.*

Proof. Assume first that $f \in \mathfrak{p}_{\mathbf{u}}$; we claim that in this case, for every $v \in \mathbb{R}^n$, h has constant value $h(v)$ on $U_{\mathbf{u}} + v$. Let Σ be a complete fan such that h is linear on its cones. Let $\Sigma(n) = \{\sigma_1, \dots, \sigma_r\}$ and let $g_1, \dots, g_r \in \text{Hom}(\mathbb{Z}^n, \mathbb{Z})$ be such that $h \upharpoonright \sigma_i = g_i \upharpoonright \sigma_i$. Since h is continuous and the σ_i 's cover \mathbb{R}^n , it suffices to show that $U_{\mathbf{u}} \subseteq \ker g_i$, for every i . By Lemma 4.2(i), we just need to show $\mathbf{u}^{\perp \perp} \subseteq \ker g_i$. Pick a point p in the topological interior of σ_i . Then

$$g_i \upharpoonright (\sigma_i \cap (\mathbf{u}^{\perp \perp} + p)) = h \upharpoonright (\sigma_i \cap (\mathbf{u}^{\perp \perp} + p)).$$

By Corollary 3.2(7), h is constant on $\mathbf{u}^{\perp \perp} + p$, and so g_i is constant on $\sigma_i \cap (\mathbf{u}^{\perp \perp} + p)$. Since p is in the topological interior of $\sigma_i \in \Sigma(n)$, $\mathbf{u}^{\perp \perp}$ and $\sigma_i \cap (\mathbf{u}^{\perp \perp} + p)$ have the same affine dimension t . This implies $\ker g_i \supseteq \mathbf{u}^{\perp \perp}$, and establishes our claim. By Lemma 2.4, f satisfies $D_{u+v} f = D_v f$, for all $u \in U_{\mathbf{u}}$ and $v \in \mathbb{R}^n$.

Let now f be any element of $F\mathcal{L}(n)$ and let $h = D_{u_t} \cdots D_{u_1} f$. Complete u_1, \dots, u_t to an orthonormal basis $u_1, \dots, u_t, u_{t+1}, \dots, u_n$ of \mathbb{R}^n and let $g = D_{u_n} \cdots D_{u_{t+1}} h$; by Lemma 2.8, g belongs to $F\mathcal{L}(n) \cap \text{Hom}(\mathbb{R}^n, \mathbb{R})$, and hence to $\text{Hom}(\mathbb{Z}^n, \mathbb{Z})$. From Corollary 2.7(iii) and (i), $D_{u_t} \cdots D_{u_1} (h - g) = D_{u_t} \cdots D_{u_1} h - D_{u_t} \cdots D_{u_1} g = h - g$ and $h(u_i) = g(u_i)$ for every $1 \leq i \leq t$. Hence, by Corollary 3.2(4), $h - g \in \mathfrak{p}_{\mathbf{u}}$. But then $D_{u+v} h - g = D_{u+v} h - D_{u+v} g = D_{u+v} (h - g) = D_v (h - g) = D_v h - D_v g = D_v h - g$, and we cancel g from both sides of this equality. ■

LEMMA 4.4. *If $\mathbf{v} = (v_1, \dots, v_q)$ is the \mathbb{Z} -reduction of $\mathbf{u} = (u_1, \dots, u_t)$, then $\mathfrak{p}_{\mathbf{u}} = \mathfrak{p}_{\mathbf{v}}$ in $F\ell(n)$. If \mathbf{u}, \mathbf{v} are both \mathbb{Z} -reduced and $\mathfrak{p}_{\mathbf{u}} = \mathfrak{p}_{\mathbf{v}}$ in $F\ell(n)$, then $\mathbf{u} = \mathbf{v}$.*

Proof. Choose $f \in F\ell(n)$; Lemma 4.3 and the inductive definition of $\mathbf{v} = \text{red}(\mathbf{u})$ show that $D_{u_t} \cdots D_{u_1} f = D_{v_q} \cdots D_{v_1} f = h$. By Corollary 3.2(6), we need to prove that h is 0 on $\mathbf{u}^{\perp\perp}$ iff it is 0 on $\mathbf{v}^{\perp\perp}$. Complete u_1, \dots, u_t to an orthonormal basis $u_1, \dots, u_t, u_{t+1}, \dots, u_n$ of \mathbb{R}^n . Then $g = D_{u_n} \cdots D_{u_{t+1}} h \in \text{Hom}(\mathbb{Z}^n, \mathbb{Z})$ is such that $g \upharpoonright \mathbf{u}^{\perp\perp} = h \upharpoonright \mathbf{u}^{\perp\perp}$ and $g \upharpoonright \mathbf{v}^{\perp\perp} = h \upharpoonright \mathbf{v}^{\perp\perp}$. By Lemma 4.2(iii), $g \upharpoonright \mathbf{u}^{\perp\perp} = 0$ iff $g \upharpoonright \mathbf{v}^{\perp\perp} = 0$; our first claim is thus established.

Let \mathbf{u}, \mathbf{v} be both \mathbb{Z} -reduced and assume $\mathbf{u} \neq \mathbf{v}$; we prove $\mathfrak{p}_{\mathbf{u}} \neq \mathfrak{p}_{\mathbf{v}}$. Without loss of generality, $\mathbf{u} = (u_1, \dots, u_t)$, $\mathbf{v} = (v_1, \dots, v_q)$, and $t \leq q$. Let i be the least index such that $u_i \neq v_i$. In case $u_j = v_j$ for every $j \leq t$ (and then necessarily $t < q$), we set $u_{t+1} = 0$ and $i = t + 1$; this convention avoids working by cases. Write $\mathbf{s} = (u_1, \dots, u_{i-1})$, and consider $U_{\mathbf{s}}$; if $i = 1$, then $U_{\mathbf{s}} = \{0\}$. Let e_1, \dots, e_n be a basis for \mathbb{Z}^n such that e_{m+1}, \dots, e_n is a \mathbb{Z} -module basis for $U_{\mathbf{s}} \cap \mathbb{Z}^n$ (and hence a vector space basis for $U_{\mathbf{s}}$). We have $0 \leq \text{rank}(\mathbf{s}) = n - m \leq n - 1$ (it cannot be true that $m = 0$, because $0 \neq v_i \in U_{\mathbf{s}}^{\perp}$). Write

$$\begin{aligned} u_i &= \sum_{1 \leq j \leq n} a_j e_j; & v_i &= \sum_{1 \leq j \leq n} b_j e_j; \\ u'_i &= \sum_{1 \leq j \leq m} a_j e_j; & v'_i &= \sum_{1 \leq j \leq m} b_j e_j. \end{aligned}$$

We have $u'_i \neq v'_i$ (if not, $u_i - v_i \in U_{\mathbf{s}}$ and, since \mathbf{u}, \mathbf{v} are \mathbb{Z} -reduced, both u_i and v_i are in $U_{\mathbf{s}}^{\perp}$; hence $u_i - v_i \in U_{\mathbf{s}} \cap U_{\mathbf{s}}^{\perp}$, and $u_i = v_i$).

As in the proof of Theorem 3.8, we perform a linear change of coordinates, writing the elements of $F\ell(n)$ as (equivalence classes of) ℓ -polynomials over x_1, \dots, x_n , where x_j is the projection over the e_j th coordinate. Upon identifying $F\ell(m)$ with the ℓ -subgroup of $F\ell(n)$ consisting of ℓ -polynomials containing x_1, \dots, x_m only, we can find $f \in F\ell(m) \subseteq F\ell(n)$ such that:

(i) for every $w \in \mathbb{R}^n$, f is constant over $U_{\mathbf{s}} + w$;

(ii) $f(v'_i) = f(v_i) \neq 0$;

(iii) $f \upharpoonright \{e_1, \dots, e_m\}^{\perp\perp} = 0$ in an m -dimensional neighborhood of u'_i in $\{e_1, \dots, e_m\}^{\perp\perp}$, and hence $f = 0$ in an n -dimensional neighborhood of u_i in \mathbb{R}^n (we require this condition only in case $i \leq t$, so that $u_i \neq 0$).

Since $u_1, \dots, u_{i-1} \in U_{\mathbf{s}}$, we see from Lemma 2.4 that $f = D_{u_1} f = D_{u_2} D_{u_1} f = \cdots = D_{u_{i-1}} \cdots D_{u_1} f$. If $i = t + 1$, then $f \in \mathfrak{p}_{\mathbf{u}}$ since $f \upharpoonright U_{\mathbf{s}} = 0$. If $i \leq t$, then by (iii), Lemma 2.3(v), and Theorem 3.5(4), we have $f \in \mathfrak{g}_{\mathbf{u}} \subseteq \mathfrak{p}_{\mathbf{u}}$. On the other hand, Corollary 3.2(3) and $f(v_i) \neq 0$ show that $f \notin \mathfrak{p}_{\mathbf{v}}$. ■

Let $\mathbf{u} = (u_1, \dots, u_t)$ be a \mathbb{Z} -reduced tuple, and let $u_i = (u_i^1, \dots, u_i^n)$. For every \mathcal{o} -group G and every subset S of G , the \mathcal{o} -subgroup generated by S in G coincides with the subgroup generated by S . By taking S to be the set of images of the generators x_1, \dots, x_n of $\mathbb{F}\mathcal{L}(n)$ under

$$\varphi_{\mathbf{u}}: \mathbb{F}\mathcal{L}(n) \rightarrow \otimes^t \mathbb{R},$$

one sees that $\varphi_{\mathbf{u}}[\mathbb{F}\mathcal{L}(n)]$ is the subgroup $H_{\mathbf{u}}$ of $\otimes^t \mathbb{R}$ generated by the rows of the matrix

$$M_{\mathbf{u}} = \begin{pmatrix} u_1^1 & \cdots & u_t^1 \\ u_1^2 & \cdots & u_t^2 \\ \vdots & \cdots & \vdots \\ u_1^n & \cdots & u_t^n \end{pmatrix}.$$

Indeed, these rows are exactly the images of x_1, \dots, x_n .

DEFINITION 4.5. Notation being as above, we let $\mathbb{Z}[u_i^1, \dots, u_i^n]$ be the \mathcal{o} -subgroup $\mathbb{Z}u_i^1 + \mathbb{Z}u_i^2 + \cdots + \mathbb{Z}u_i^n$ of \mathbb{R} generated by u_i^1, \dots, u_i^n . We also let G_i be the \mathcal{o} -subgroup of \mathbb{R} defined by

$$G_i = \{\langle u_i, w \rangle : w \in \{u_1, \dots, u_{i-1}\}^\perp \cap \mathbb{Z}^n\}$$

(equivalently, G_i is the \mathcal{o} -subgroup of \mathbb{R} generated by the image under $\langle u_i, - \rangle$ of any \mathbb{Z} -basis of $\{u_1, \dots, u_{i-1}\}^\perp \cap \mathbb{Z}^n$).

Note that $G_1 = \mathbb{Z}[u_1^1, \dots, u_1^n]$; for $i > 1$, G_i and $\mathbb{Z}[u_i^1, \dots, u_i^n]$ may not be isomorphic as \mathcal{o} -groups, even though they always have the same rank (see Theorem 4.7(ii) and Example 5.3). We pause a moment to give a criterion for deciding when two finitely generated \mathcal{o} -subgroups of \mathbb{R} of the same rank are isomorphic.

LEMMA 4.6. Let $\alpha^1, \dots, \alpha^n, \beta^1, \dots, \beta^n \in \mathbb{R}$ be such that both $\alpha^1, \dots, \alpha^n$ and β^1, \dots, β^n are linearly independent over \mathbb{Q} . Then $\mathbb{Z}[\alpha^1, \dots, \alpha^n]$ is isomorphic to $\mathbb{Z}[\beta^1, \dots, \beta^n]$ as \mathcal{o} -groups iff there exists a unimodular (i.e., of determinant of absolute value 1) $n \times n$ matrix C with integer entries, and some $0 < r \in \mathbb{R}$, such that

$$\begin{pmatrix} \beta^1 \\ \beta^2 \\ \vdots \\ \beta^n \end{pmatrix} = rC \begin{pmatrix} \alpha^1 \\ \alpha^2 \\ \vdots \\ \alpha^n \end{pmatrix}.$$

Proof. By [Fuc63, Proposition IV.2], $\mathbb{Z}[\alpha^1, \dots, \alpha^n]$ is isomorphic to $\mathbb{Z}[\beta^1, \dots, \beta^n]$ iff there exists $0 < r \in \mathbb{R}$ with $\mathbb{Z}[r\alpha^1, \dots, r\alpha^n] = \mathbb{Z}[\beta^1, \dots, \beta^n]$. This last equality holds iff there exists a unimodular matrix C with integer entries such that

$$\begin{pmatrix} \beta^1 \\ \beta^2 \\ \vdots \\ \beta^n \end{pmatrix} = C \begin{pmatrix} r\alpha^1 \\ r\alpha^2 \\ \vdots \\ r\alpha^n \end{pmatrix}.$$

■

THEOREM 4.7. *Let $\mathbf{u} = (u_1, \dots, u_t)$ be \mathbb{Z} -reduced. Then:*

- (i) $H_{\mathbf{u}} \simeq G_1 \otimes \dots \otimes G_t$;
- (ii) *for every $1 \leq i \leq t$, the free abelian groups G_i and $\mathbb{Z}[u_i^1, \dots, u_i^n]$ have the same rank $r_i \geq 1$. Also, r_i coincides with $\text{rank}(u_i)$, as defined in Definition 4.1;*
- (iii) $t \leq \text{rank}(\mathbf{u}) = r_1 + \dots + r_t = (\text{rank of } H_{\mathbf{u}} \text{ as a free abelian group}) \leq n$.

Proof. (i) Induction on t : for $t = 1$ the statement is trivial. Let $t > 1$ and write $\mathbf{u} = \mathbf{v} * u_t$. We have an exact sequence of abelian groups

$$0 \rightarrow \ker \pi \rightarrow H_{\mathbf{u}} \xrightarrow{\pi} H_{\mathbf{v}} \rightarrow 0 \quad (*)$$

induced by the projection

$$\pi: (s_1, \dots, s_t) \mapsto (s_1, \dots, s_{t-1}).$$

Since $H_{\mathbf{v}}$ is finitely generated and torsion-free, it is free, and $(*)$ splits as a sequence of abelian groups. Since $\ker \pi$ is convex in $H_{\mathbf{u}}$, $(*)$ splits also as a sequence of \mathcal{o} -groups, and we obtain

$$H_{\mathbf{u}} \simeq H_{\mathbf{v}} \otimes \ker \pi.$$

It is clear that $\ker \pi$ and G_t are isomorphic as \mathcal{o} -groups, and we conclude the proof by applying the inductive hypothesis.

(ii) Fix $1 < i \leq t$. Choose a basis l_1, \dots, l_n of \mathbb{R}^n such that l_1, \dots, l_m is a \mathbb{Z} -module basis for

$$\{u_1, \dots, u_{i-1}\}^\perp \cap \mathbb{Z}^n = U_{(u_1, \dots, u_{i-1})}^\perp \cap \mathbb{Z}^n,$$

and l_{m+1}, \dots, l_n is a \mathbb{Z} -module basis for

$$(\{u_1, \dots, u_{i-1}\}^\perp \cap \mathbb{Z}^n)^\perp \cap \mathbb{Z}^n = U_{(u_1, \dots, u_{i-1})} \cap \mathbb{Z}^n.$$

Let T be the free abelian group of rank n generated by l_1, \dots, l_n . Choose a basis e_1, \dots, e_n of \mathbb{Z}^n and nonzero positive integers m_1, \dots, m_n such that $m_1 | m_2 | \dots | m_n$ and $m_1 e_1, \dots, m_n e_n$ is a basis for T . Then

$$m_n \mathbb{Z}^n \subseteq T \subseteq \mathbb{Z}^n,$$

and hence $G_i = \langle u_i, T \rangle$ is sandwiched between $\langle u_i, m_n \mathbb{Z}^n \rangle = m_n \mathbb{Z}[u_i^1, \dots, u_i^n]$ and $\langle u_i, \mathbb{Z}^n \rangle = \mathbb{Z}[u_i^1, \dots, u_i^n]$, which are both free of rank $r_i \geq 1$; hence so is G_i . An analogous stack-basis proof shows that, for every $u = (u^1, \dots, u^n) \in \mathbb{R}^n$, the rank of u as defined in Definition 4.1 coincides with the rank of $\mathbb{Z}[u^1, \dots, u^n]$.

(iii) It suffices to prove $\text{rank}(\mathbf{u}) = r_1 + \dots + r_t$, and this is Lemma 4.2(v). ■

By Theorem 4.7(iii) and [Dar95, Proposition 52.15], $\text{rank}(\mathbf{u})$ can be characterized as the only integer r for which there exists a free generating set y_1, \dots, y_n of $F\ell(n)$ such that $y_{r+1}, \dots, y_n \in \mathfrak{p}_{\mathbf{u}}$ and the map $f \mapsto f/\mathfrak{p}_{\mathbf{u}}$ is injective on the subgroup generated by y_1, \dots, y_r . Indeed, if we choose a basis e_1, \dots, e_n of \mathbb{Z}^n with e_{r+1}, \dots, e_n spanning $U_{\mathbf{u}}^\perp$, then the projections y_1, \dots, y_n corresponding to e_1, \dots, e_n satisfy the above requirements.

We can now prove our main theorem, that parallels Theorem 3.8 for the case of finitely generated free ℓ -groups.

THEOREM 4.8. *The mapping $\mathbf{u} \mapsto \mathfrak{p}_{\mathbf{u}}$ is an order-reversing bijection from the set of \mathbb{Z} -reduced tuples onto $\text{Spec } F\ell(n)$. The mapping $\mathfrak{p}_{\mathbf{u}} \mapsto \mathfrak{g}_{\mathbf{u}}$ associates to every prime its germinal, and is an order-reversing bijection between the root system of prime ideals and the poset of germinal ideals. If $\mathbf{u} = (u_1, \dots, u_t)$ is \mathbb{Z} -reduced and has rank r , then $\text{height } \mathfrak{p}_{\mathbf{u}} = n - r$, $\dim F\ell(n)/\mathfrak{p}_{\mathbf{u}} = t - 1$, and $\text{height } \mathfrak{p}_{\mathbf{u}} + \dim F\ell(n)/\mathfrak{p}_{\mathbf{u}} = n + t - r - 1 \leq n - 1 = \dim F\ell(n)$. We have*

$$\begin{aligned} \frac{\mathfrak{p}_{\mathbf{u}}}{\mathfrak{g}_{\mathbf{u}}} &\simeq \text{the } \ell\text{-subgroup } \{D_{u_t} \cdots D_{u_1} f : f \in \mathfrak{p}_{\mathbf{u}}\} \text{ of } F\ell(n) \\ &\simeq F\ell(n - r), \end{aligned}$$

and hence the localization of $F\ell(n)$ at $\mathfrak{p}_{\mathbf{u}}$ is

$$\begin{aligned} \frac{F\ell(n)}{\mathfrak{g}_{\mathbf{u}}} &\simeq \frac{F\ell(n)}{\mathfrak{p}_{\mathbf{u}}} \otimes \frac{\mathfrak{p}_{\mathbf{u}}}{\mathfrak{g}_{\mathbf{u}}} \\ &\simeq G_1 \otimes \cdots \otimes G_t \otimes F\ell(n - r), \end{aligned}$$

where G_1, \dots, G_t are the o -subgroups of \mathbb{R} introduced in Definition 4.5.

Proof. Almost all of our statements have already been established. The standard orthonormal basis of \mathbb{Z}^n yields a \mathbb{Z} -reduced tuple of maximal length n ; hence $\dim F\ell(n) = n - 1$. If \mathbf{u} is \mathbb{Z} -reduced of rank r , then $n - r$ is both the dimension of $U_{\mathbf{u}}^{\perp}$ and the rank of $U_{\mathbf{u}}^{\perp} \cap \mathbb{Z}^n$; since \mathbf{u} can be extended to a larger \mathbb{Z} -reduced tuple only by picking elements in $U_{\mathbf{u}}^{\perp}$, it follows that $\text{height } \mathbf{u} = n - r$. By Theorem 4.7(i) $F\ell(n)/\mathfrak{p}_{\mathbf{u}}$ is isomorphic to $G_1 \otimes \cdots \otimes G_r$, and hence has dimension $t - 1$. The only claim that remains to be proved is the one about the isomorphism type of $\mathfrak{p}_{\mathbf{u}}/\mathfrak{g}_{\mathbf{u}}$. The proof is identical to that of Theorem 3.8: change coordinates to a basis e_1, \dots, e_n of \mathbb{Z}^n in such a way that e_1, \dots, e_r is a \mathbb{Z} -basis for $U_{\mathbf{u}} \cap \mathbb{Z}^n$. Then

$$\psi: F\ell(n) \rightarrow F\ell(n - r)$$

given by

$$f(x_1, \dots, x_n) \mapsto f(0, \dots, 0, x_{r+1}, \dots, x_n)$$

is an epimorphism. Define

$$\chi: \mathfrak{p}_{\mathbf{u}} \rightarrow \chi[\mathfrak{p}_{\mathbf{u}}] = H \hookrightarrow F\ell(n)$$

by $\chi(f) = D_{u_t} \cdots D_{u_1} f$. By Lemma 2.9(ii), Corollary 3.2, and Theorem 3.5, χ is an ℓ -group homomorphism with kernel $\mathfrak{g}_{\mathbf{u}}$. Therefore, $\mathfrak{p}_{\mathbf{u}}/\mathfrak{g}_{\mathbf{u}} \simeq H$. By the first part of the proof of Lemma 4.3, every $\chi(f)$ is constant on $U_{\mathbf{u}} + v$, for every $v \in \mathbb{R}^n$. Therefore $\chi(f)$ depends, as an ℓ -polynomial, on x_{r+1}, \dots, x_n only, and $H \simeq F\ell(n - r)$. ■

Let us note the following nice similarity between primes in ℓ -groups and primes in integral domains. Let D be a domain that is a finitely generated algebra over a domain R . As it is well known, if R is a field, then $\text{height } \mathfrak{p} + \dim D/\mathfrak{p}$ is constant—equal to the dimension of D —as \mathfrak{p} varies in the primes of D [Mat80, 14.H]. On the other hand, if R is not a field, then in general we only have trivially

$$\text{height } \mathfrak{p} + \dim D/\mathfrak{p} \leq \dim D,$$

and the sum to the left varies with the prime; for a simple example take $D = \mathbb{Z}_p[x]$, where \mathbb{Z}_p is the ring of p -adic integers. Clearly, this behavior parallels that of primes in free vector lattices and free ℓ -groups, as described in Theorems 3.8 and 4.8.

As we noted in the Preliminaries, Theorem 4.8 and the categorical equivalence between ℓ -groups with strong unit and MV-algebras yield immediately a description of the prime spectrum of the finitely generated free MV-algebras. We recall that an *MV-algebra* is an algebra $A = (A,$

$\oplus, \neg, 0$) such that $(A, \oplus, 0)$ is an abelian monoid and the identities $\neg \neg a = a$, $a \oplus (\neg 0) = \neg 0$, and $\neg(\neg a \oplus b) \oplus b = \neg(\neg b \oplus a) \oplus a$ hold. MV-algebras stand to Łukasiewicz infinite-valued logic as Boolean algebras stand to classical logic [Cha58; Mun86]; in particular, prime ideals in free MV-algebras correspond to prime theories in infinite-valued logic. Here, by a *prime theory* we mean a theory T having the property that, for every two formulas φ, ψ , either $\varphi \rightarrow \psi$ or $\psi \rightarrow \varphi$ is a theorem of T . While in classical logic prime theories coincide with complete ones, in infinite-valued logic the two notions are distinct.

Let (G, g) be an \mathcal{L} -group equipped with a fixed strong unit g . Then the structure

$$\Gamma(G, g) = ([0, g], \oplus, \neg, 0),$$

defined by

$$[0, g] = \{a \in G : 0 \leq a \leq g\}$$

$$a \oplus b = (a + b) \wedge g$$

$$\neg a = g - a$$

$$0 = \text{the additive identity } 0 \text{ of } G,$$

is an MV-algebra, and every MV-algebra is obtained from a uniquely determined \mathcal{L} -group with strong unit in such a way. The ideals of G correspond bijectively to the ideals of $\Gamma(G, g)$ via $\alpha \mapsto \alpha \cap [0, g]$, and α is prime iff $\alpha \cap [0, g]$ is prime. The functor $(G, g) \mapsto \Gamma(G, g)$ induces a categorical equivalence between the category of \mathcal{L} -groups with strong unit and the category of MV-algebras; see [Mun86] for the proofs of the above statements.

Let now w_1, \dots, w_q be the vertices of the unit cube $[0, 1]^n$ in \mathbb{R}^n , with $q = 2^n$, and let σ be the cone in \mathbb{R}^{n+1} spanned by $(w_1, 1), \dots, (w_q, 1) \in \mathbb{R}^n \times \{1\}$. Let α be the principal ideal of $F\mathcal{L}(n+1)$ whose elements are the functions which are 0 on σ . Then x_{n+1}/α is a strong unit of $F\mathcal{L}(n+1)/\alpha$, and it is well known [Mun86] that the free MV-algebra over n generators is

$$\Gamma(F\mathcal{L}(n+1)/\alpha, x_{n+1}/\alpha).$$

We therefore obtain the following corollary to Theorem 4.8.

COROLLARY 4.9. *The prime ideals of the free MV-algebra over n generators correspond bijectively to the \mathbb{Z} -reduced tuples \mathbf{u} in \mathbb{R}^{n+1} satisfying $S(\mathbf{u}, \epsilon) \subseteq \sigma$, for some ϵ .*

5. A SIMPLE ALGORITHM FOR COMPUTING QUOTIENTS

We conclude this paper by giving a very simple recipe for computing the isomorphism types of the quotients $F\ell(n)/\mathfrak{p}_{\mathbf{u}}$, given any orthonormal tuple \mathbf{u} (not necessarily \mathbb{Z} -reduced).

The algorithm works as follows: construct the $n \times t$ matrix with real entries $M_{\mathbf{u}}$ as in Section 4. A *row operation* consists:

- either in exchanging two rows;
- or in multiplying a row by -1 ;
- or in adding to a row a linear combination with integer coefficients of the remaining ones.

We leave to the reader the proof of the following lemma.

LEMMA 5.1. *Given $\alpha^1, \dots, \alpha^n \in \mathbb{R}$, there exists a sequence of row operations that transform the column vector*

$$\begin{pmatrix} \alpha^1 \\ \vdots \\ \alpha^n \end{pmatrix}$$

in a column vector

$$\begin{pmatrix} \beta^1 \\ \vdots \\ \beta^r \\ 0 \\ \vdots \\ 0 \end{pmatrix},$$

where β^1, \dots, β^r is any given \mathbb{Z} -basis for $\mathbb{Z}[\alpha^1, \dots, \alpha^n]$.

Starting from $M_1 = M_{\mathbf{u}}$, apply row operations and obtain a matrix M'_1 whose first column is of the form

$$\begin{pmatrix} \alpha^1 \\ \vdots \\ \alpha^{r_1} \\ 0 \\ \vdots \\ 0 \end{pmatrix},$$

with $r_1 = \text{rank}(u_1)$ and $\mathbb{Z}[u_1^1, \dots, u_1^n] = \mathbb{Z}[\alpha^1, \dots, \alpha^{r_1}]$. This corresponds to changing the standard basis of \mathbb{Z}^n to a basis e_1, \dots, e_n such that e_{r_1+1}, \dots, e_n constitute a basis for $u_1^\perp \cap \mathbb{Z}^n$. Write $G_1 = \mathbb{Z}[\alpha^1, \dots, \alpha^{r_1}]$, and obtain M_2 by throwing away the first column and the first r_1 rows from M_1' . Repeat the process, constructing G_2, \dots, G_t . It is clear that the G_i 's so constructed are exactly those introduced in Definition 4.5. Note that if \mathbf{u} is not \mathbb{Z} -reduced, then some of the G_i 's may be 0; in this case, we simply discard them. At any step, we can multiply column i by any nonzero positive real number. The effect is merely a cosmetic improvement of the presentation of G_i , and does not change its isomorphism type.

EXAMPLE 5.2. Let $n = 3$, $u_1 = (1/2, -1/2, 1/\sqrt{2})$, $u_2 = (0, \sqrt{2}/\sqrt{3}, 1/\sqrt{3})$, $u_3 = (-\sqrt{3}/2, -1/(2\sqrt{3}), 1/\sqrt{6})$, $\mathbf{u} = (u_1, u_2, u_3)$. Then \mathbf{u} is orthonormal, $U_{u_1} = \mathbb{R}(1, -1, 0) + \mathbb{R}(0, 0, 1)$, and $U_{u_1}^\perp = \mathbb{R}(1, 1, 0)$. Since $u_2 \notin U_{u_1}^\perp$, \mathbf{u} is not \mathbb{Z} -reduced; projecting u_2 on $U_{u_1}^\perp$ and normalizing, we obtain $v = (1/\sqrt{2}, 1/\sqrt{2}, 0)$. Since $U_v = \mathbb{R}(1, 1, 0)$, we have $U_{(u_1, v)} = U_{u_1} \oplus U_v = \mathbb{R}^3$, and therefore the \mathbb{Z} -reduction of \mathbf{u} is (u_1, v) .

We have

$$M_{\mathbf{u}} = \begin{pmatrix} \frac{1}{2} & 0 & -\frac{\sqrt{3}}{2} \\ -\frac{1}{2} & \frac{\sqrt{2}}{\sqrt{3}} & -\frac{1}{2\sqrt{3}} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{6}} \end{pmatrix}.$$

First, we make cosmetics, by multiplying the columns by 2, $\sqrt{3}$, and $2\sqrt{3}$, respectively. We obtain

$$M_1 = \begin{pmatrix} 1 & 0 & -3 \\ -1 & \sqrt{2} & -1 \\ \sqrt{2} & 1 & \sqrt{2} \end{pmatrix}.$$

We add the first row to the second, and exchange the second row with the third. The resulting matrix is

$$M'_1 = \begin{pmatrix} 1 & 0 & -3 \\ \sqrt{2} & 1 & \sqrt{2} \\ 0 & \sqrt{2} & -4 \end{pmatrix}.$$

We have $G_1 = \mathbb{Z}[1, \sqrt{2}]$ and

$$M_2 = (\sqrt{2} \quad -4).$$

We multiply the first column by $1/\sqrt{2}$, and stop the process, since M_3 is the empty matrix. We conclude that $F\ell(3)/\mathfrak{p}_{\mathbf{u}}$ is isomorphic to $\mathbb{Z}[1, \sqrt{2}] \otimes \mathbb{Z}$. Since $\text{rank}(\mathbf{u}) = 3$, $\mathfrak{p}_{\mathbf{u}}$ has height 0, i.e., is a minimal prime and coincides with its germinal. Note also that the dimension of $F\ell(3)/\mathfrak{p}_{\mathbf{u}}$ is 1.

EXAMPLE 5.3. Let $n = 3$, $v_1 = (1, -1, 2)$, $v_2 = (1, 1 + 2\sqrt{2}, \sqrt{2})$, $\mathbf{u} = (v_1/\|v_1\|, v_2/\|v_2\|) = (u_1, u_2)$. Then $\text{rank}(u_1) = 1$, $U_{u_1} = \mathbb{R}v_1$, and \mathbf{u} is \mathbb{Z} -reduced, since $u_2 \in \mathbb{R}(1, 1, 0) + \mathbb{R}(-2, 0, 1) = U_{u_1}^\perp$. We have

$$M_1 = \begin{pmatrix} 1 & 1 \\ -1 & 1 + 2\sqrt{2} \\ 2 & \sqrt{2} \end{pmatrix},$$

and by row operations we obtain

$$M'_1 = \begin{pmatrix} 1 & 1 \\ 0 & 2 + 2\sqrt{2} \\ 0 & -2 + \sqrt{2} \end{pmatrix}.$$

Therefore, $G_1 = \mathbb{Z}$ and

$$M_2 = \begin{pmatrix} 2 + 2\sqrt{2} \\ -2 + \sqrt{2} \end{pmatrix}.$$

We obtain $G_2 = \mathbb{Z}[2 + 2\sqrt{2}, -2 + \sqrt{2}] = \mathbb{Z}[2 - \sqrt{2}, 3\sqrt{2}]$ and $F\ell(3)/\mathfrak{p}_{\mathbf{u}} \simeq \mathbb{Z} \otimes \mathbb{Z}[2 - \sqrt{2}, 3\sqrt{2}]$. Again, $\mathfrak{p}_{\mathbf{u}}$ is a minimal prime and $\dim F\ell(3)/\mathfrak{p}_{\mathbf{u}} = 1$. Note that G_2 is not isomorphic to $\mathbb{Z}[u_2^1, u_2^2, u_2^3] \simeq \mathbb{Z}[1, \sqrt{2}]$. Indeed, assume that they are isomorphic. Then by Lemma 4.6 we have

$$\begin{pmatrix} 2 - \sqrt{2} \\ 3\sqrt{2} \end{pmatrix} = r \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 \\ \sqrt{2} \end{pmatrix},$$

for an appropriate unimodular matrix with integer entries and some real number $r > 0$. Therefore

$$2 - \sqrt{2} = r(a + b\sqrt{2}),$$

$$3\sqrt{2} = r(c + d\sqrt{2}).$$

Eliminating r and equating the rational and the irrational parts, we get

$$3a = -c + 2d,$$

$$3b = c - d.$$

Since the matrix is unimodular,

$$\begin{vmatrix} -c + 2d & c - d \\ c & d \end{vmatrix} = 2d^2 - c^2 = \pm 3.$$

So c must be odd. It is impossible that

$$2d^2 - c^2 = 3,$$

because reducing mod 4 we see that d is even, and $-c^2 = 3$ has no solutions mod 8. It is also impossible that

$$2d^2 - c^2 = -3, \quad (*)$$

because reducing mod 4 we have

$$2d^2 = 2 \pmod{4}.$$

Hence d is odd, and reducing $(*)$ mod 8 we conclude

$$2 - 1 = -3 \pmod{8},$$

which is again absurd.

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